

Practitioner's Document No. WGATE12

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Augenbraun, Joseph E., et al.

Application No.: 09/770,678

Group No.: 2614

Filed: January 29, 2001

Examiner: tba

For: SYSTEM AND METHOD FOR TRANSMITTING PROGRAM GUIDE AND  
OTHER INFORMATION IN A TELEVISION DISTRIBUTION SYSTEM

Box Missing Parts

Assistant Commissioner for Patents

Washington, D.C. 20231

COMPLETION OF FILING REQUIREMENTS  
-- NONPROVISIONAL APPLICATION

I. This replies to the Notice to File Missing Parts of Application (PTO-1533), which was mailed March 8, 2001.

A copy of the Notice to File Missing Parts of Application--Filing Date Granted (Form PTO-1533) is enclosed.

DECLARATION OR OATH

II. No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

SMALL ENTITY STATUS

III. This filing is by a small entity.

COMPLETION FEES

IV.

1. Filing Fee

Original patent application  
(37 C.F.R. Section 1.16(a))

\$355.00

2. Extra Claim Fee

4 Independent claims - 3 = 1 extra @ \$40.00 each  
67 Total Claims - 20 = 47 extra @ \$9.00 each

\$ 40.00

\$423.00

3. Surcharge Fees

Late payment of filing fee and/or late filing of original  
declaration or oath (37 C.F.R. Section 1.16(e))

\$ 65.00

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Total Completion Fees

\$883.00

## EXTENSION OF TIME

V. The proceedings herein are for a patent application, and the provisions of 37 C.F.R. Section 1.136(a) apply.

Applicant petitions for an extension of time, the small entity fee for which is set out in 37 C.F.R. Section 1.17(a)(4), for three months.

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Fee	\$445.00
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### TOTAL FEE DUE

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VI. The total fee due is:

Completion fees	\$ 883.00
Extension fee (if any)	<u>\$ 445.00</u>
Total Fee Due	\$1328.00

### PAYMENT OF FEES

VII. Enclosed is a check in the amount of \$1328.00.

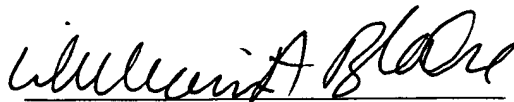
Please charge Deposit Account No. 10-1213 for any deficiency in fees that may be due by this paper.

### AUTHORIZATION TO CHARGE ADDITIONAL FEES

VIII. The Commissioner is hereby authorized to charge the following additional fees that may be required by this paper and during the pendency of this application to Account No. 10-1213.

- 37 C.F.R. Section 1.16(a), (f) or (g) (filing fees)
- 37 C.F.R. Section 1.16(b), (c) and (d) (presentation of extra claims) 37 C.F.R. Section 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)
- 37 C.F.R. Section 1.17(a)(1)-(5) (extension fees pursuant to Section 1.136(a))
- 37 C.F.R. Section 1.17 (application processing fees)

Date: August 8, 2001



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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/770,678	01/29/2001	Joseph E. Augenbraun	WGATE12

CONFIRMATION NO. 5433

## FORMALITIES LETTER



\*OC000000005841455\*

William A. Blake  
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Date Mailed: 03/08/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/09/2001 BSAYAS11 00000018 09770678

FILED UNDER 37 CFR 1.53(b)

01	FE-201	355.00	OP
02	FE-202	40.00	OP
03	FE-203	423.00	OP
04	FE-205	65.00	OP

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$926.
  - \$846 for 47 total claims over 20.
  - \$80 for 1 independent claims over 3.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1766.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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*A copy of this notice MUST be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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